



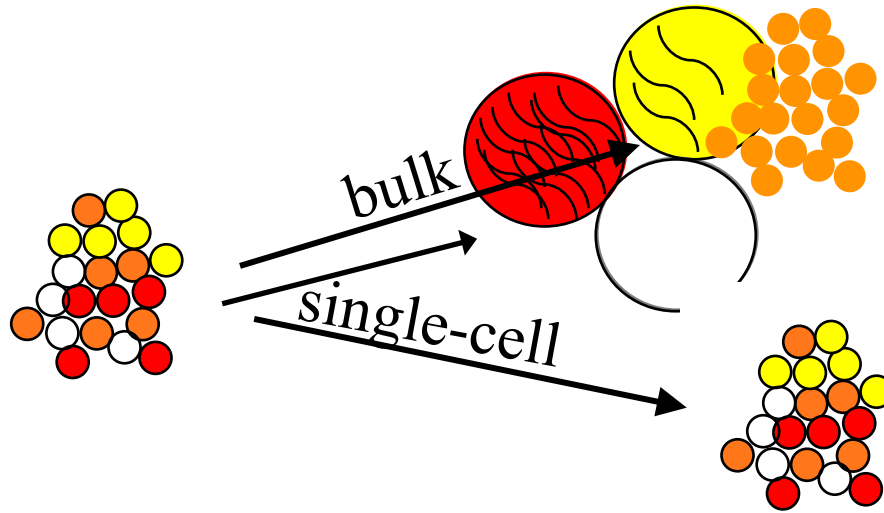
Statistical methods for single-cell RNA sequencing data

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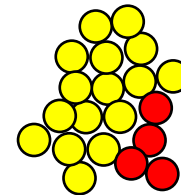
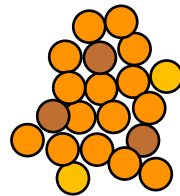
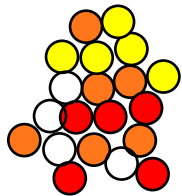
Single-cell vs. bulk RNA-seq



Heterogeneous

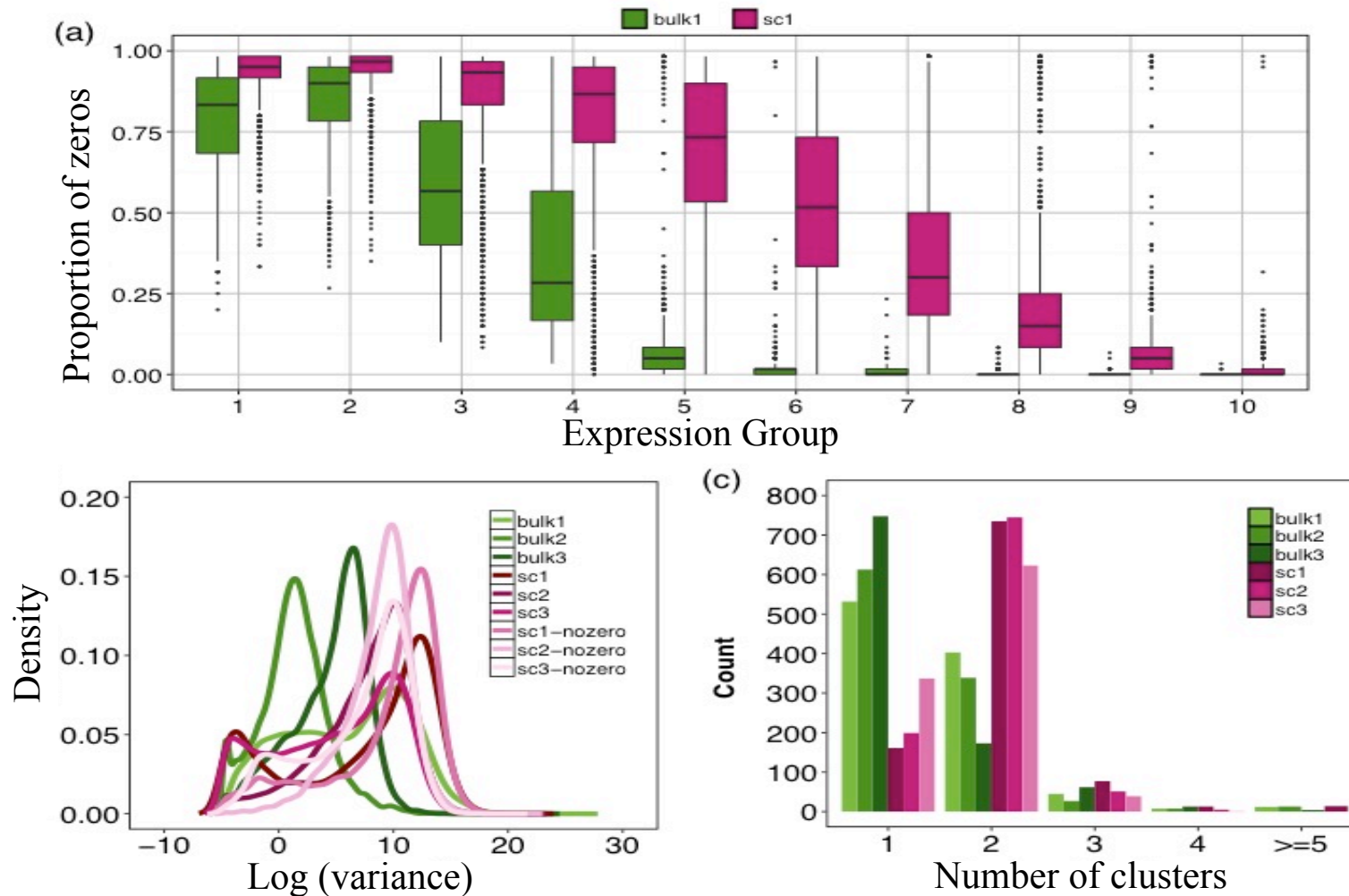
Homogeneous

Sub-population



Features of single-cell RNA-seq data

- Abundance of zeros, increased variability, complex distributions



Bacher and Kendzierski, *Genome Biology*, 2016.

Challenges in scRNA-seq

- Normalization
- Technical vs. biological zeros
- Clustering; Identifying sub-populations
- De-noising
 - Adjusting for technical variability
 - Adjusting for biological variability (oscillatory genes)
- Identifying and characterizing differences in gene-specific expression distributions (aka. identifying differential distributions)
- Pseudotime reordering
- Network reconstruction



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Challenges in scRNA-seq

- **Normalization** → Bacher, Chu *et al.*, *Nature Methods*, 2017
- Technical vs. biological zeros
- Clustering; Identifying sub-populations
- De-noising
 - Adjusting for technical variability → Leng *et al.* *Bioinformatics*, 2016
 - Adjusting for biological variability (oscillatory genes) → Leng, Chu *et al.*, *Nature Methods*, 2015
- Identifying and characterizing differences in gene-specific expression distributions (aka. identifying differential distributions)
- Pseudotime reordering → Korthauer *et al.*, *Genome Biology*, 2016
- Network reconstruction



SCnorm: A quantile-regression based approach for robust normalization of single-cell RNA-seq data

Bacher, Chu *et al.*, *Nature Methods*, 2017

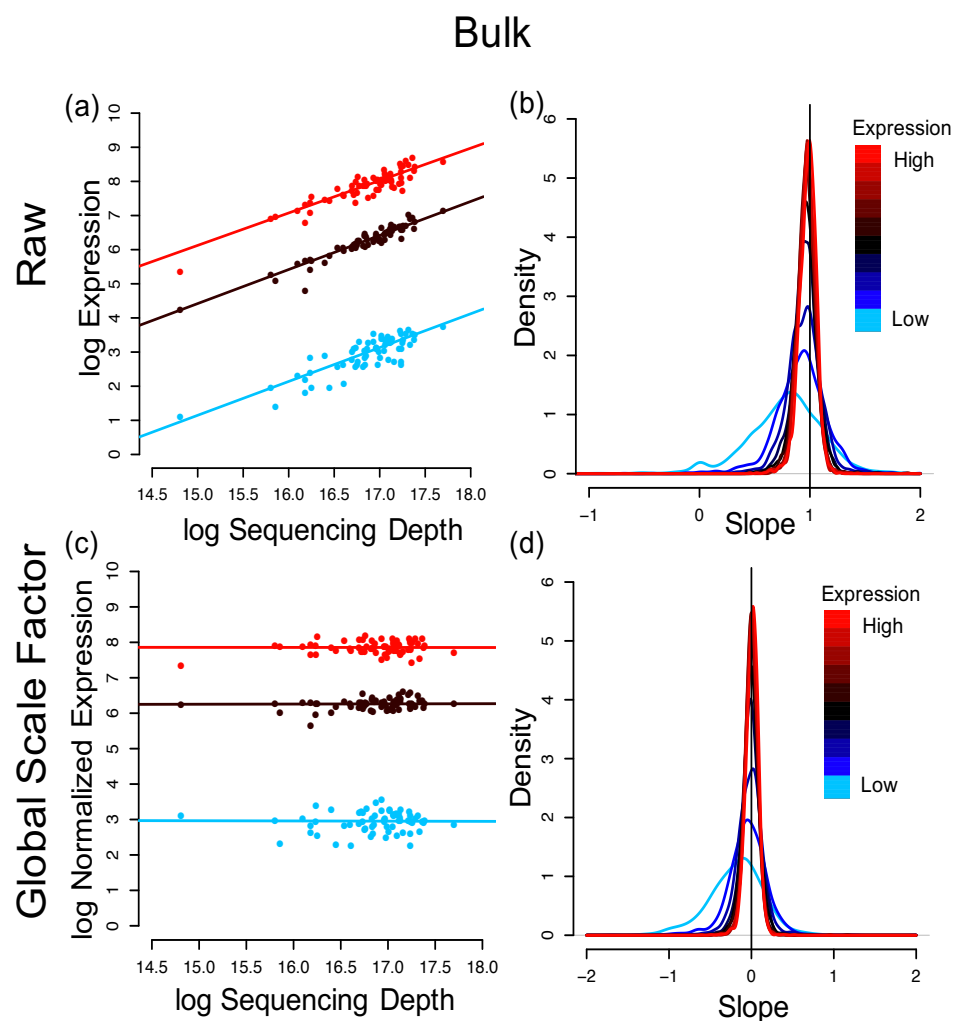


Background

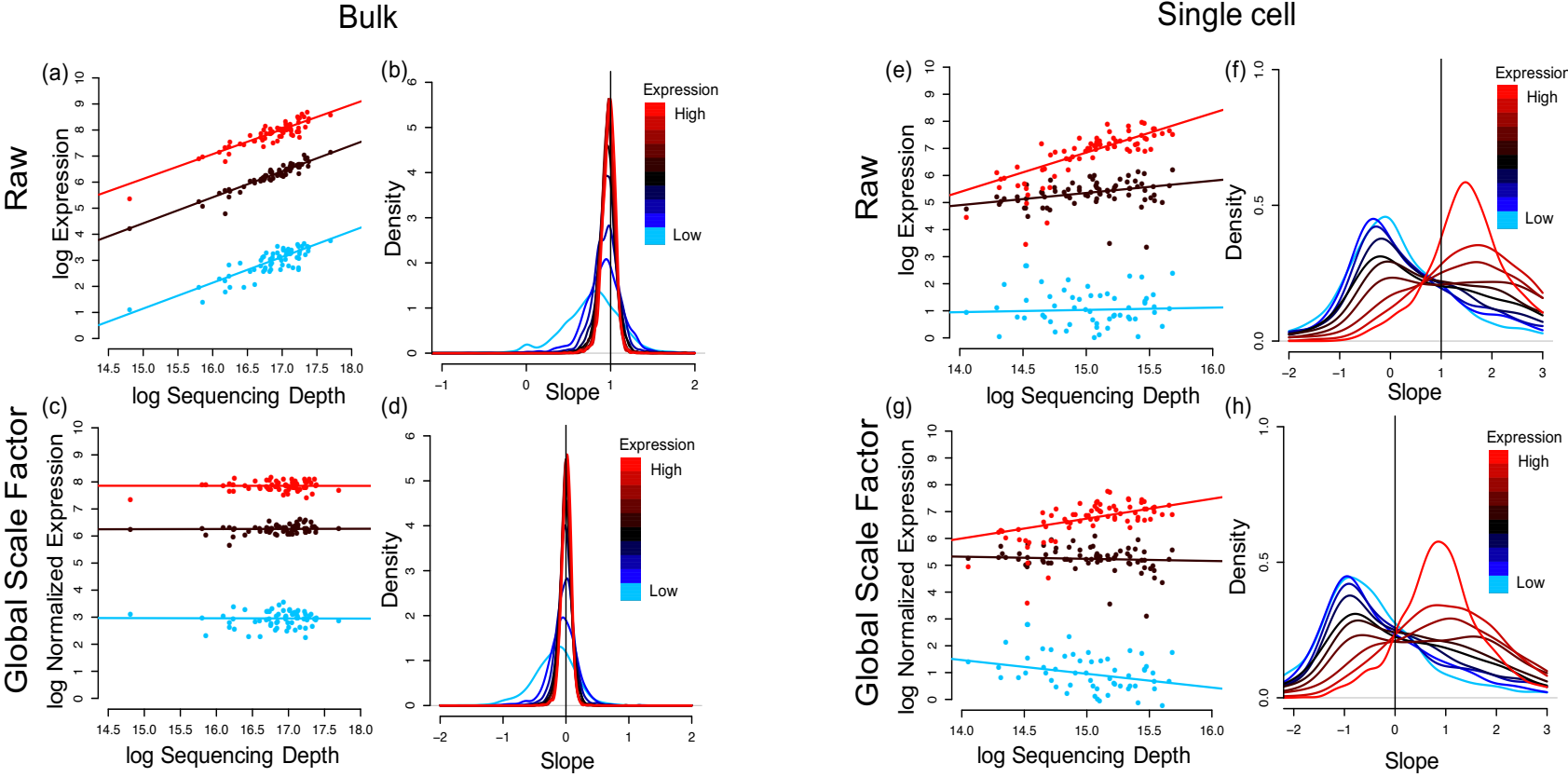
- Goal: correct for technical artifacts and/or gene-specific features
 - Sequencing depth
 - Length, GC content
 - Amplification and other technical biases
- Without UMIs/spike-ins, most single-cell methods calculate global scale factors as in bulk RNA-seq
 - One scale factor is calculated per sample and applied to all genes in that sample.



Bulk: Global scale-factor normalization for sequencing depth



Expression vs. depth varies with expression in scRNA-seq



We see the count-depth relationship varying with expression in many datasets



Overview of SCnorm

- Identify gene groups based on the count-depth relationship.

Within each group,

- Quantile polynomial regression is used to quantify the group-specific relationship between expression and sequencing depth. The quantile is chosen iteratively.
- Predicted values are used to calculate group-specific scale factors for each cell.



SCnorm

- Filter: genes having greater than 10% expression values nonzero and median nonzero expression greater than 2.
- Let $Y_g = (y_{g1}, \dots, y_{gJ})$ denote log non-zero expression for gene g in cell j ; X_j denote log sequencing depth.
- The gene-specific count-depth relationship is estimated by:

$$Q^{0.5}(Y_{g,j}|X_j) = \beta_{g,0} + \beta_{g,1}X_j$$

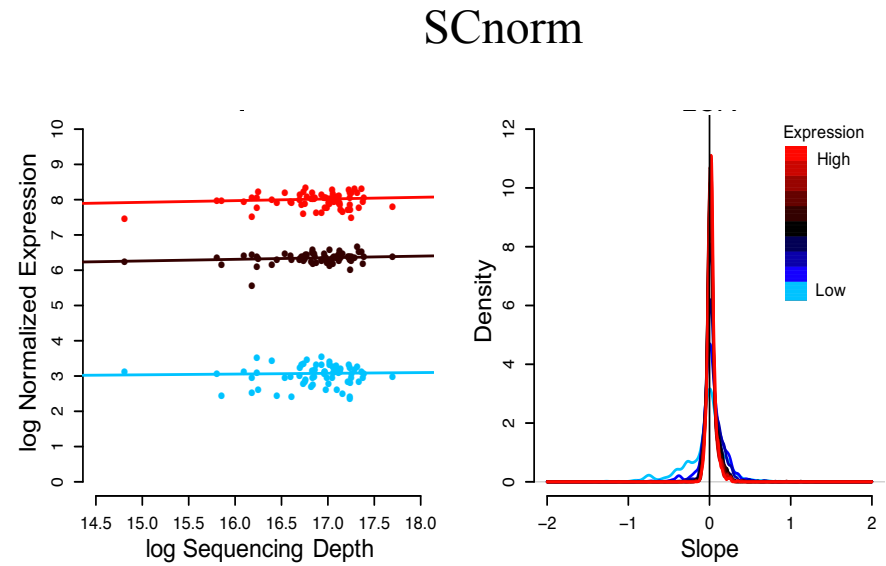
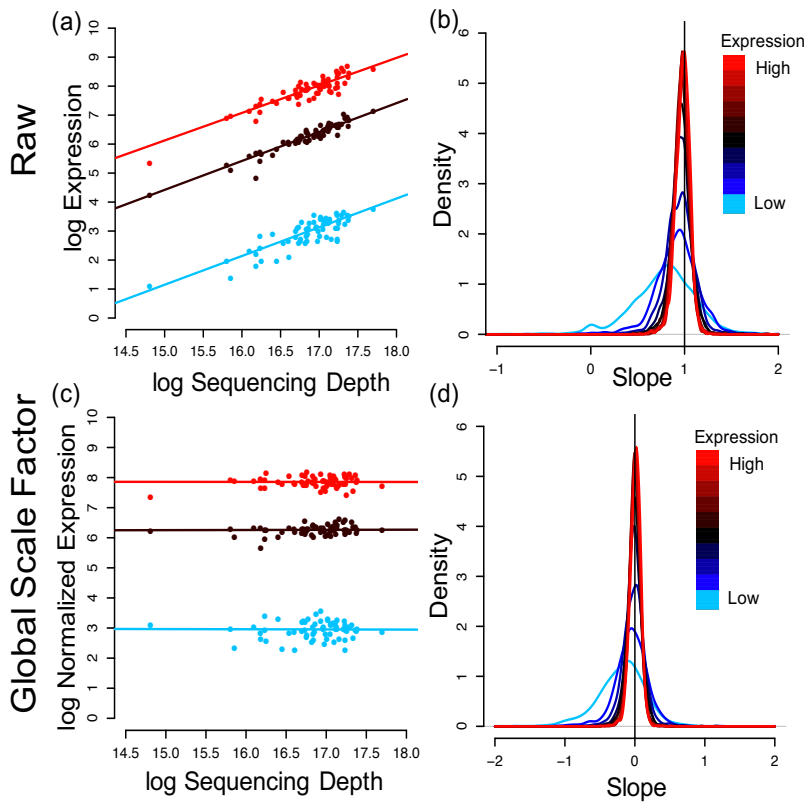
- Genes are split into K groups. The group specific count-depth relationship is estimated by:

$$Q^{\tau_k, d_k}(Y_j|X_j) = \beta_0^{\tau_k} + \beta_1^{\tau_k}X_j + \dots + \beta_d^{\tau_k}X_j^{d_k}$$

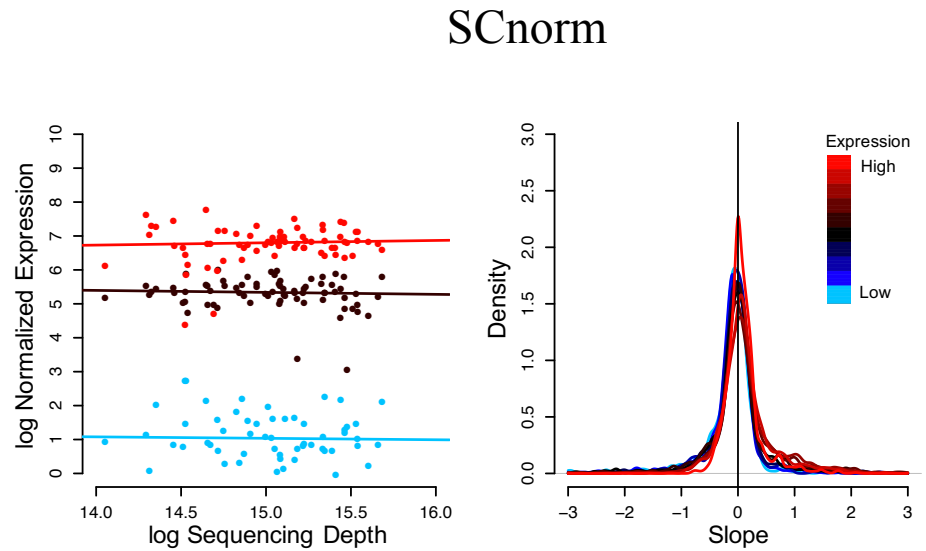
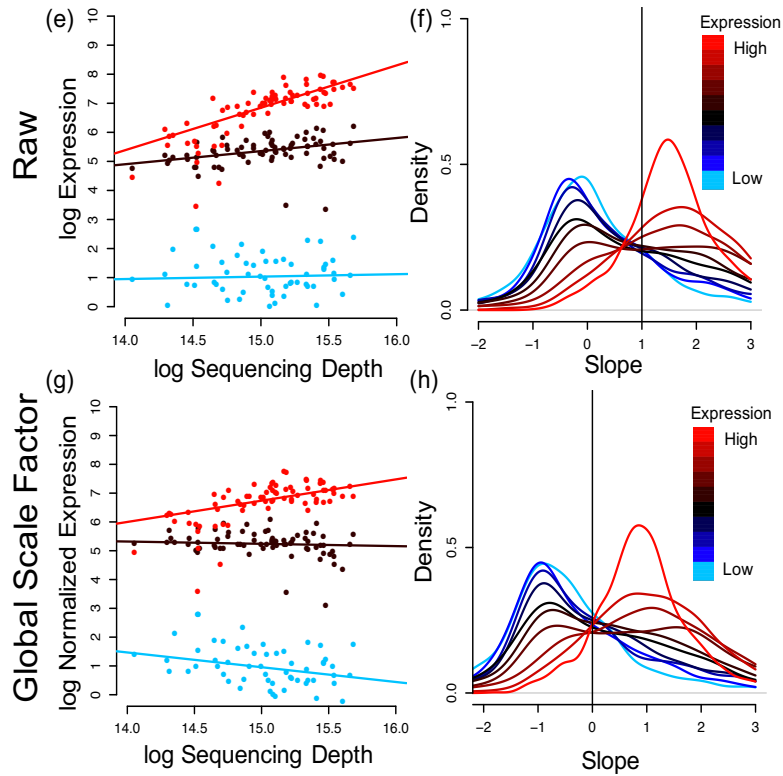
- Estimates of τ_k and d_k minimize $|\hat{\eta}_1^{\tau_k} - \text{mode}_g \hat{\beta}_{g,1}|$; where $\hat{\eta}_1^{\tau_k}$ represents the count-depth relationship among predicted values.
- K is chosen so that the absolute value of the maximum normalized slope mode is < 0.1 within each of ten groups.



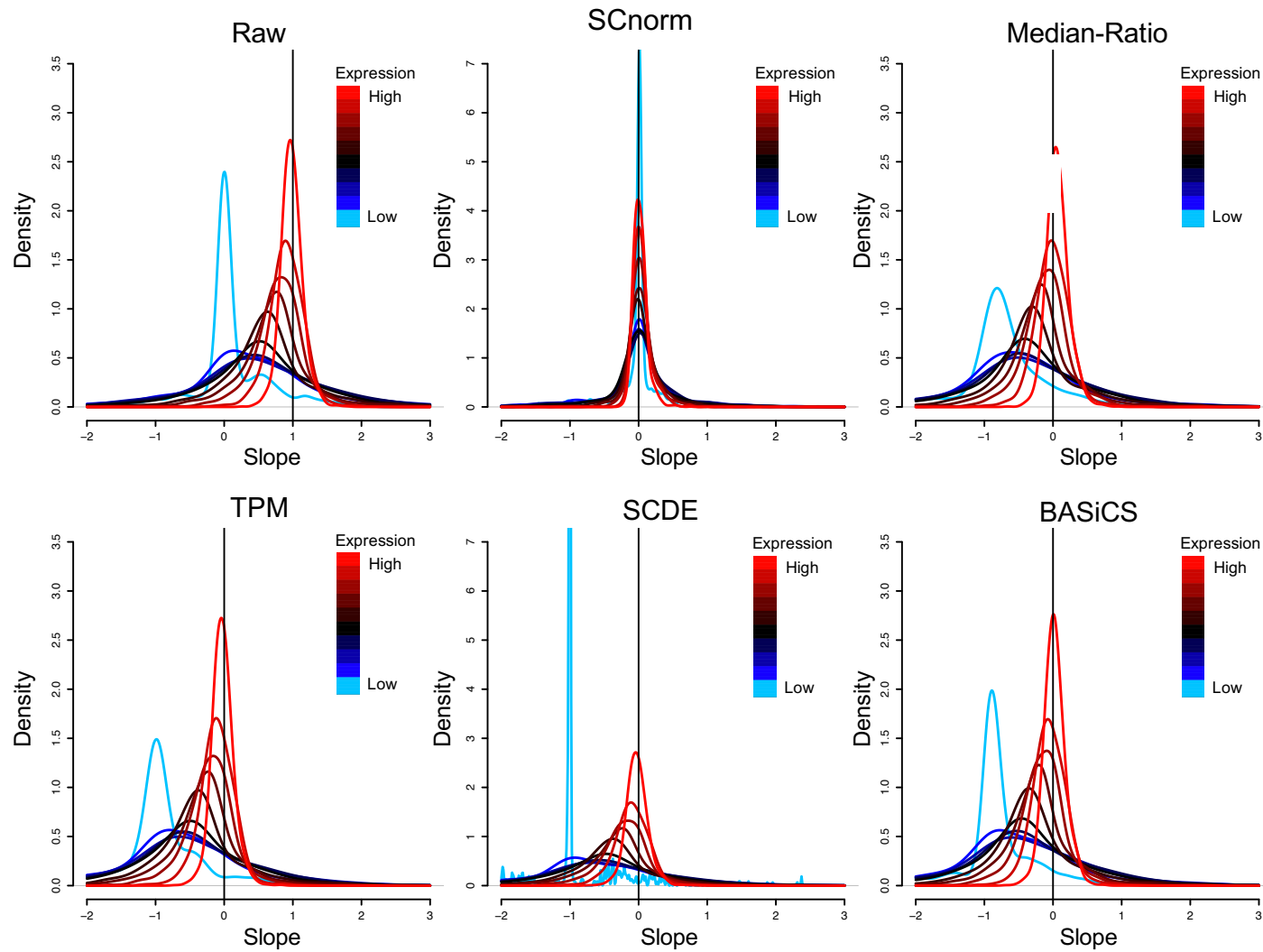
Bulk RNA-seq



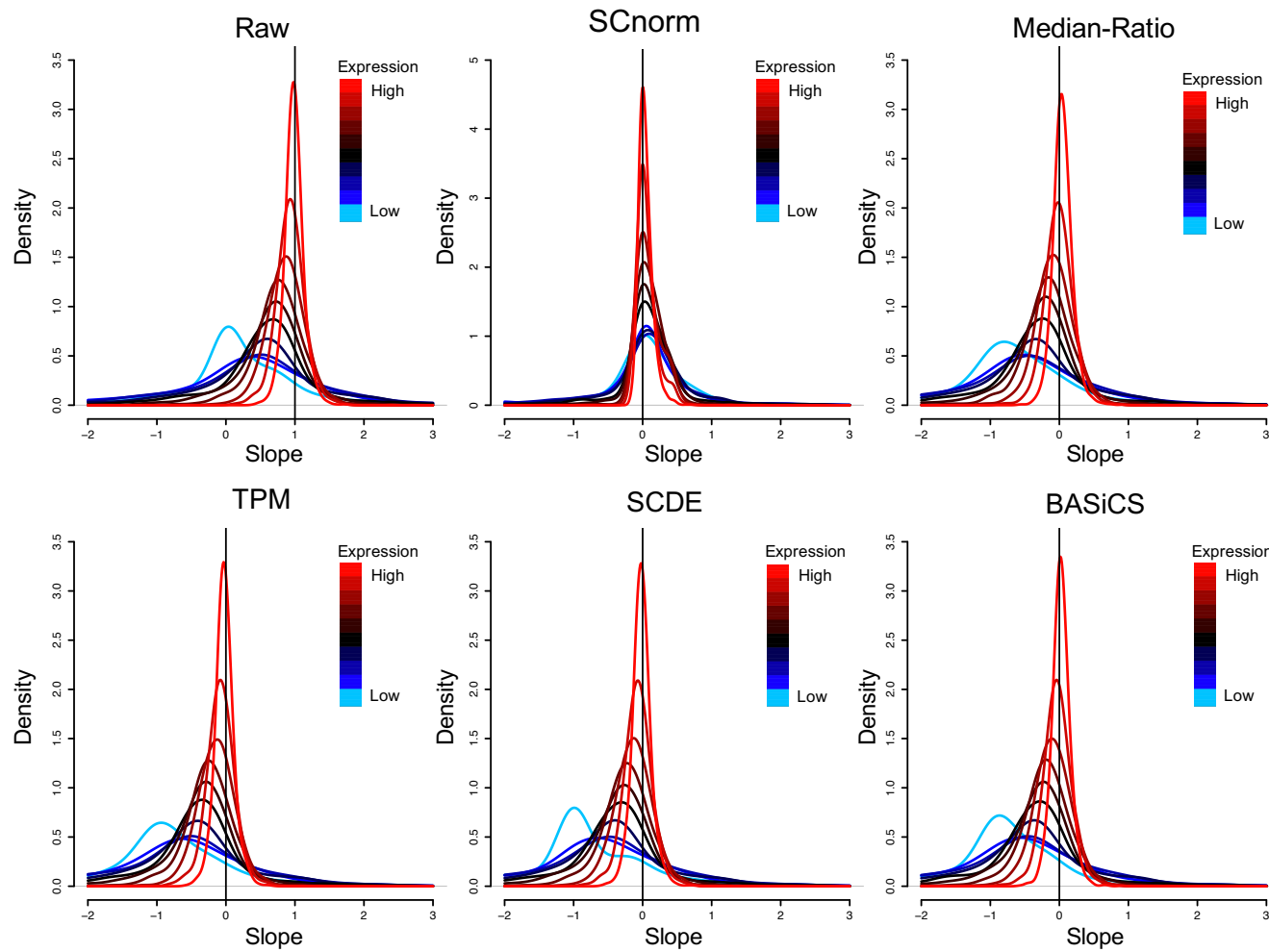
Single-cell RNA-seq



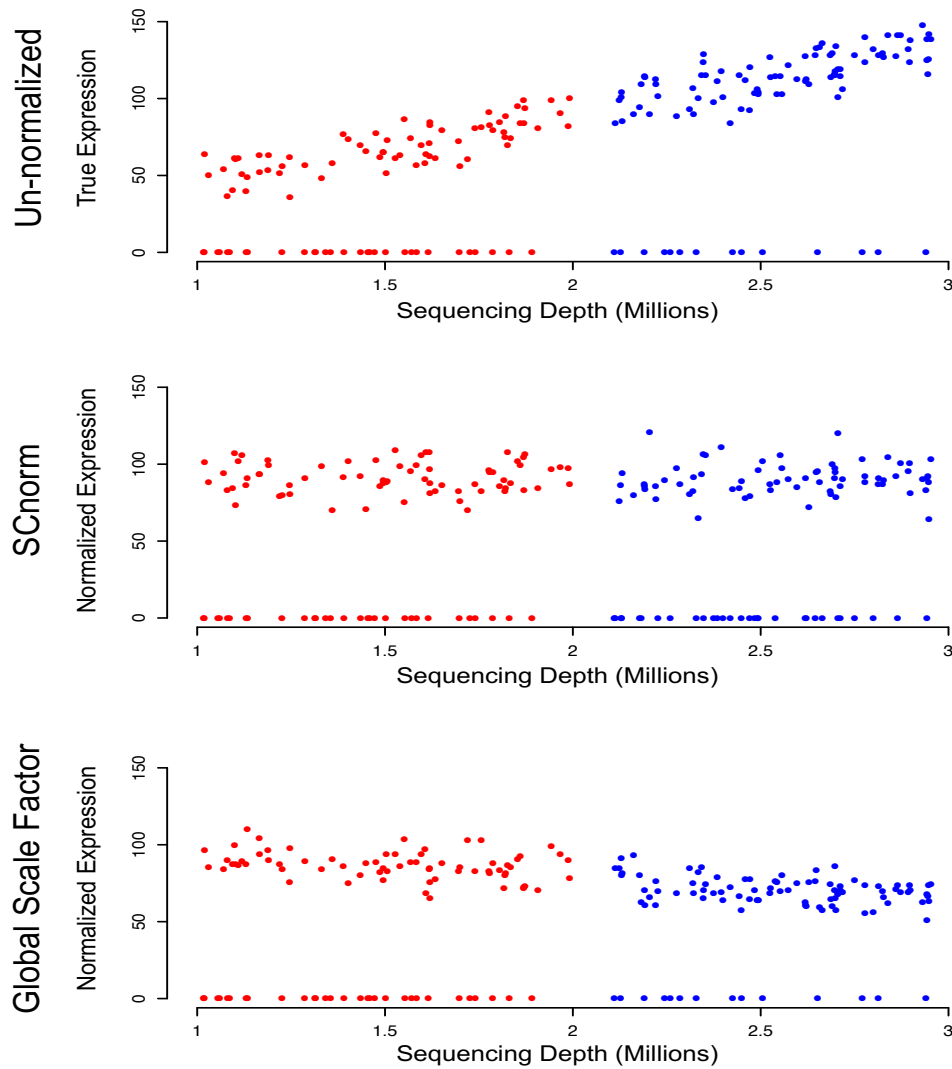
H1 - 1 (~ 1 million reads per cell)



H1 - 4 (~4 million reads per cell)

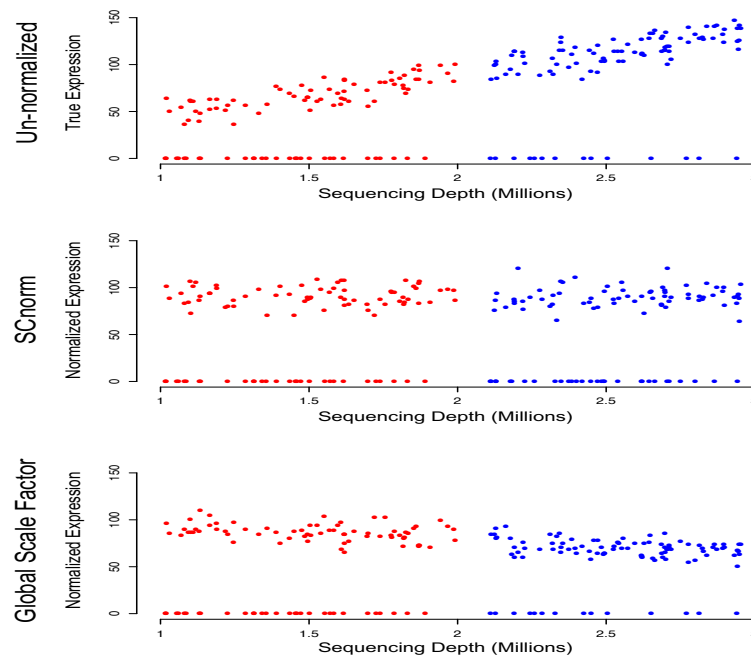


Implications for DE analysis



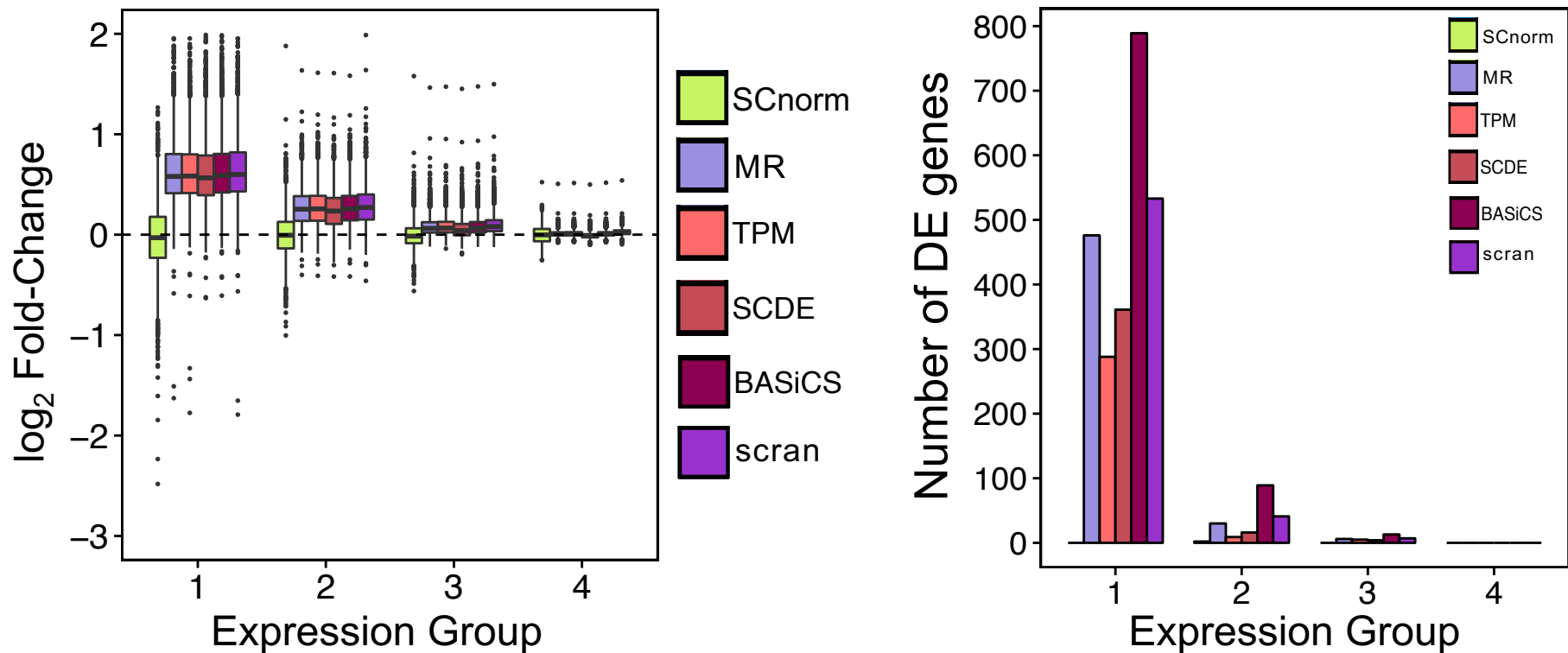
FC= H1-1/H1-4

- H1-1: ~100 H1 cells profiles at ~1 million reads per cell
- H1-4: Same H1 cells profiled at ~4 million reads per cell
- Prior to normalization, H1-1/H1-4 should be about $\frac{1}{4}$
- Post normalization, H1-1/H1-4 should be about 1
- If over-normalization is going on, H1-1/H1-4 will be greater than 1.

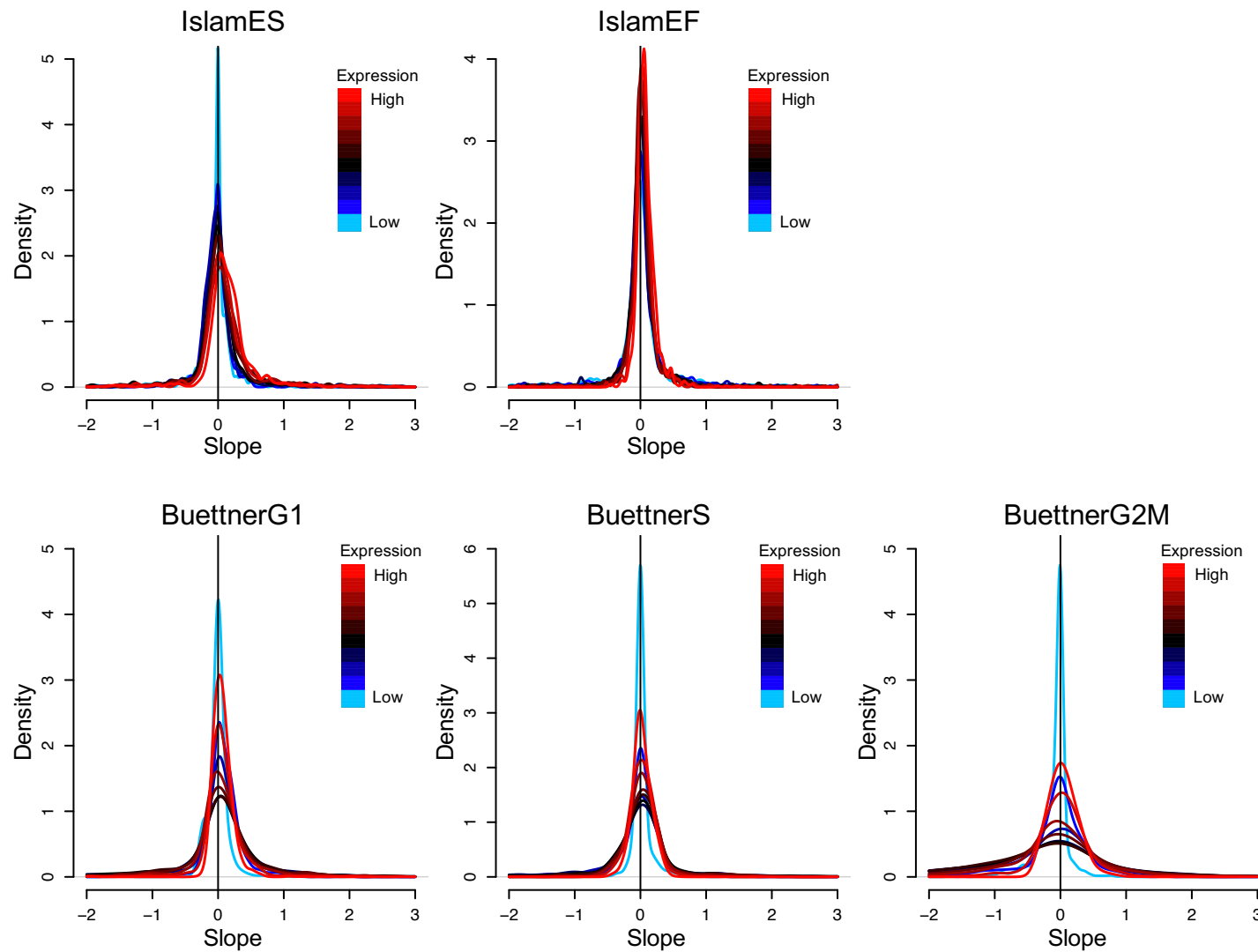


$$FC = H1-1/H1-4$$

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Normalization via SCnorm



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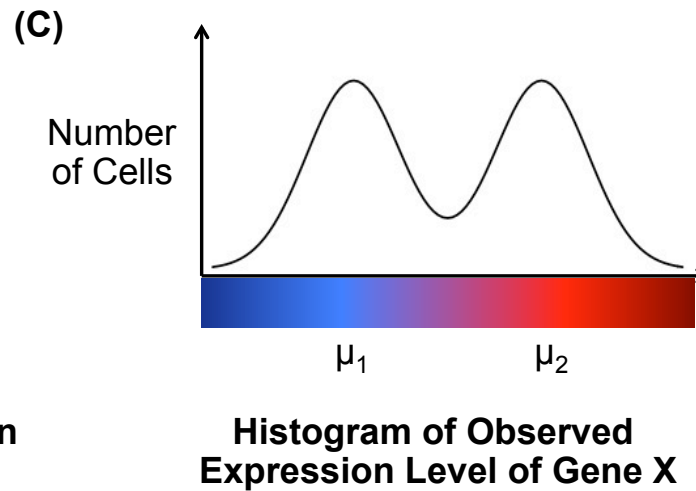
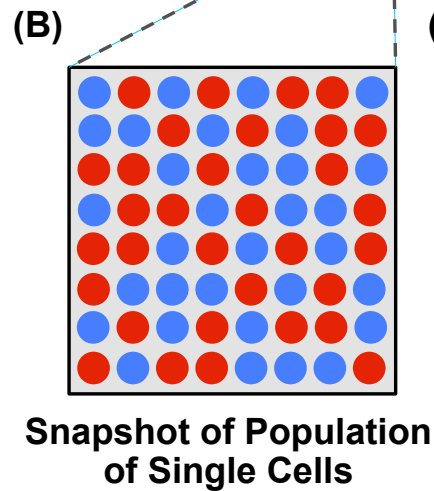
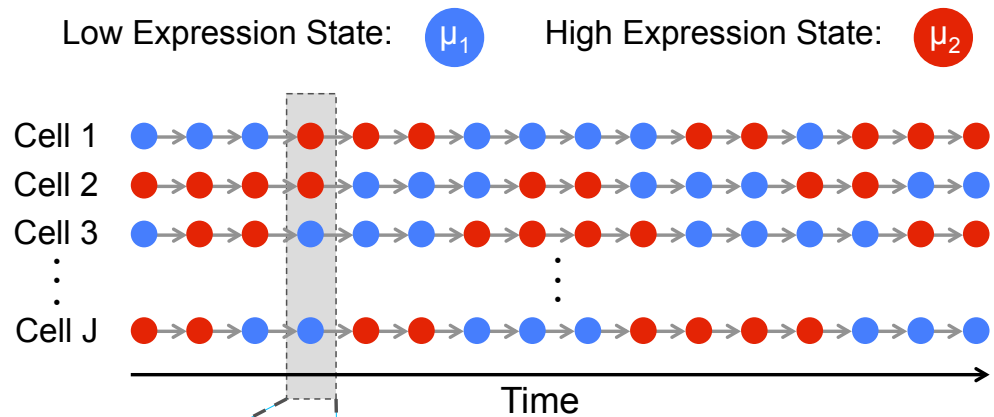
scDD: A Dirichlet mixture model based approach for
identifying differential distributions in scRNA-seq experiments

Korthauer *et al.*, *Genome Biology*, to appear, 2016

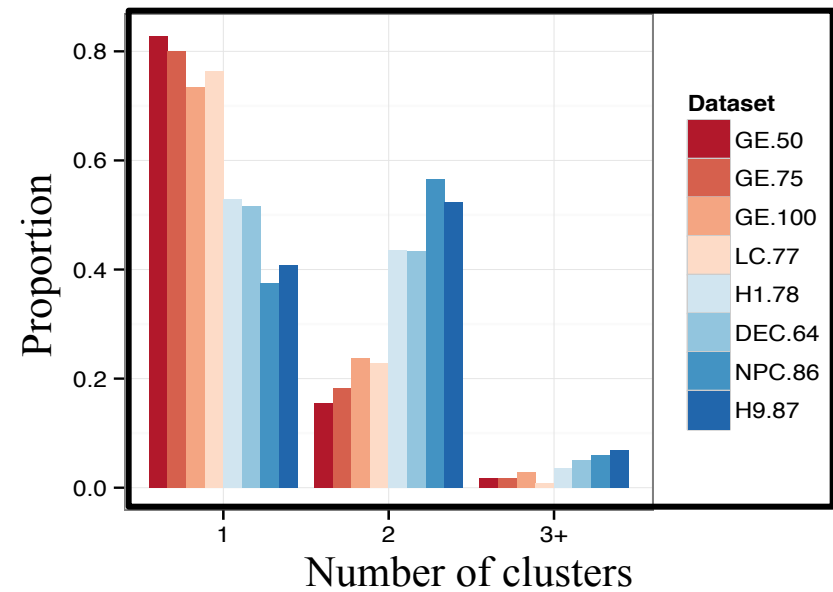
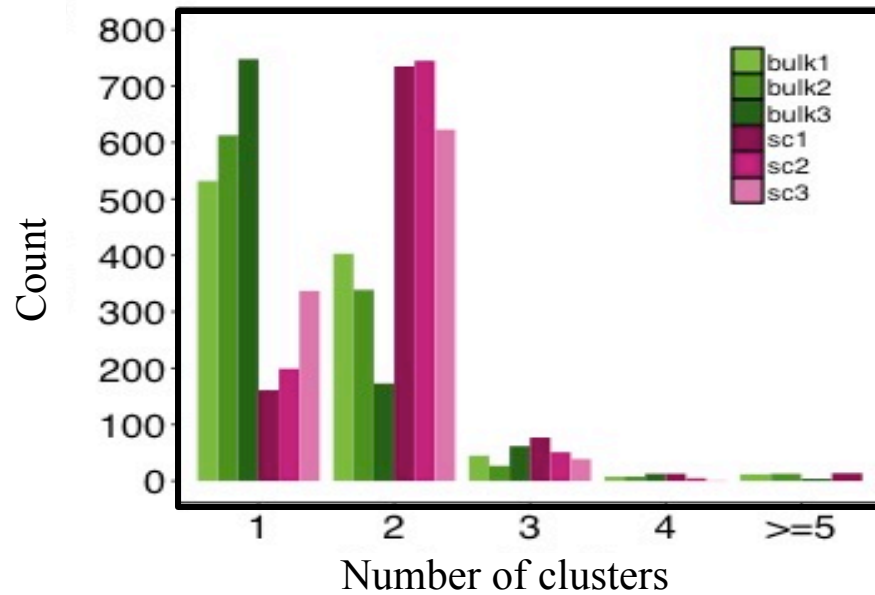


Gene-specific multi-modality

(A) Expression States of Gene X for Individual Cells Over Time

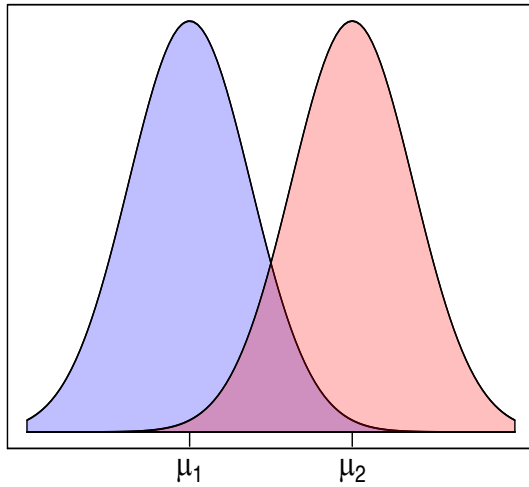


Many genes show multi-modal expression distributions

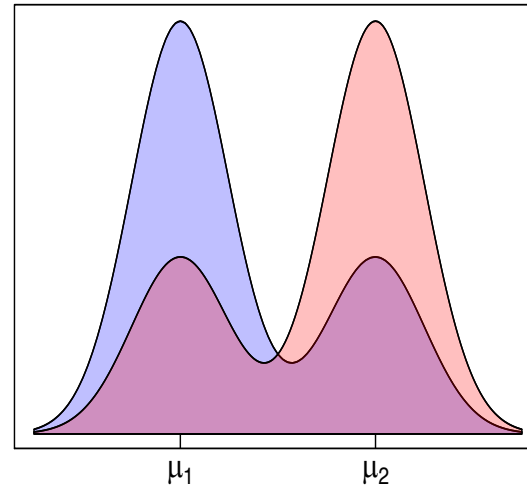


Opportunity to identify differences beyond traditional DE

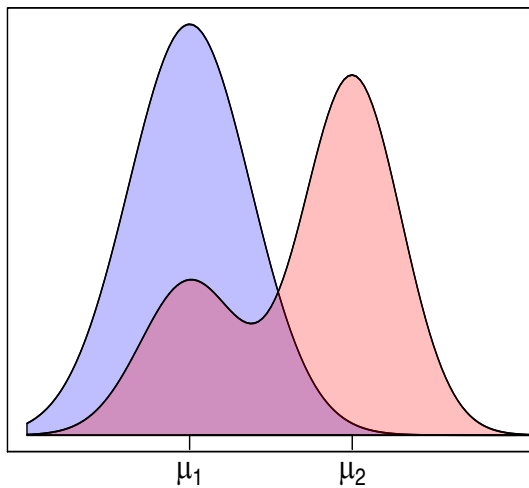
Differential expression (DE)



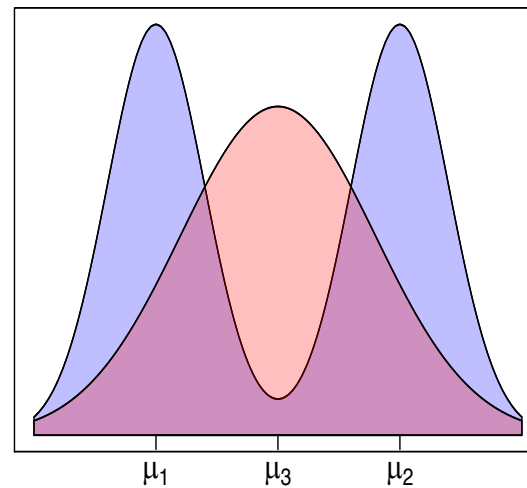
Differential proportions (DP)



Differential modes (DM)



Both DM and DE



scRNA-seq DE Analysis

- Recent methods use mixture modeling to account for 'on' and 'off' components
 - Shalek et al. (2014)
 - SCDE (Kharchenko *et al.*, 2014)
 - MAST (Finak *et al.*, 2015)
- When detected, each gene has a latent level of expression within a biological condition, and measurements fluctuate around that level due to biological and technical sources of variability



scDD: Goal

- Model expression profiles while accommodating the often multimodal distributions in the detected cells
- Find genes with Differential Distributions (DD) of expression across two conditions:
 - differential means
 - differential proportion within modes
 - differential modality (number of modes)
 - combination thereof
 - differential zeroes (detection rate)



scDD: Overview

- Assume that log non-zero normalized, de-noised, expression measurements $Y_g = (y_{g1}, \dots, y_{gJ})$ for gene g in J cells arise from a conjugate Dirichlet Process Mixture (DPM) of normals model:

$$\begin{aligned}y_j &\sim N(\mu_j, \tau_j) \\ \mu_j, \tau_j &\sim G \\ G &\sim DP(\alpha, G_0) \\ G_0 &= NG(m_0, s_0, a_0/2, 2/b_0)\end{aligned}$$

- Let K denote the number of components (unique values in $\{\mu_j, \tau_j\}, j=1, \dots, J$). Of primary interest is the posterior of (μ, τ) , which is intractable for moderate sample sizes.
- Let $Z = (z_1, \dots, z_J)$ denote component memberships. Then $f(Y|Z)$ is a PPM.

$$\begin{aligned}f(Y|Z) &= \prod_{k=1}^K f(y^{(k)}) \\ &\propto \prod_{k=1}^K \frac{\Gamma(a_k/2)}{(b_k/2)^{a_k/2}} s_k^{-1/2}\end{aligned}$$



scDD: Overview (continued)

- To quantify the evidence of DD for gene g , obtain MAP partition estimate, \hat{Z} , and evaluate $f(Y, \hat{Z})$ under competing hypotheses:
 - ignoring condition (\mathcal{M}_{ED} : equivalent distributions)
 - separately within condition (\mathcal{M}_{DD} : differential distributions)

- Evaluate \mathcal{M}_{DD} using a pseudo-Bayes Factor score:

$$Score_g = \log \left(\frac{f(Y_g, \hat{Z}_g | M_{DD})}{f(Y_g, \hat{Z}_g | M_{ED})} \right)$$

- Assess significance via permutation.



scDD: Evaluation via simulation studies

- 8000 ED genes:
 - 4000 from single Negative Binomial component
 - 4000 from two component mixture of Negative Binomial
- 2000 DD genes:
 - 500 DE genes
 - 500 DP genes (0.33/0.66 proportion difference)
 - 500 DM genes (0.50 belong to second mode)
 - 500 DB genes (mean in second condition is average of means in the first)
- Sample sizes varied $\in \{50, 75, 100\}$
- Component distances Δ_{μ} for multimodal conditions varied $\in \{2, 3, 4, 5, 6\}$ SDs
- Means, variances, and detection rates sampled empirically

Evaluate: Power to identify DD genes

Rate at which DD genes are correctly classified

Rate at which correct # components are identified

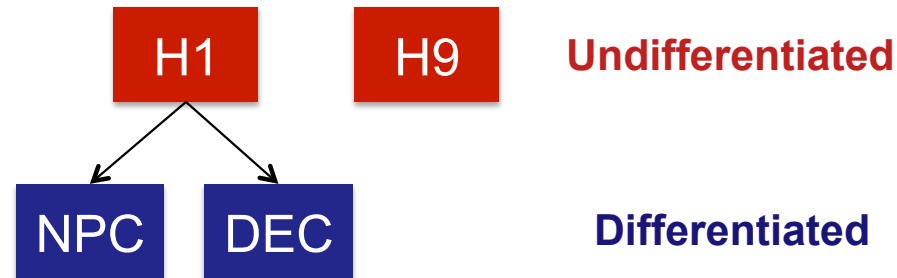


scDD: Power to detect DD genes within each category

| Sample Size | Method | True Gene Category | | | | Overall (FDR) |
|-------------|--------|--------------------|--------------|--------------|--------------|----------------------|
| | | DE | DP | DM | DB | |
| 50 | scDD | 0.893 | 0.418 | 0.898 | 0.572 | 0.695 (0.030) |
| | SCDE | 0.872 | 0.026 | 0.816 | 0.260 | 0.494 (0.004) |
| | MAST | 0.908 | 0.400 | 0.871 | 0.019 | 0.550 (0.026) |
| 75 | scDD | 0.951 | 0.590 | 0.960 | 0.668 | 0.792 (0.031) |
| | SCDE | 0.948 | 0.070 | 0.903 | 0.387 | 0.577 (0.003) |
| | MAST | 0.956 | 0.632 | 0.942 | 0.036 | 0.642 (0.022) |
| 100 | scDD | 0.972 | 0.717 | 0.982 | 0.727 | 0.850 (0.033) |
| | SCDE | 0.975 | 0.125 | 0.946 | 0.478 | 0.631 (0.003) |
| | MAST | 0.977 | 0.752 | 0.970 | 0.045 | 0.686 (0.022) |
| 500 | scDD | 1.000 | 0.985 | 1.00 | 0.903 | 0.972 (0.034) |
| | SCDE | 1.000 | 0.858 | 0.998 | 0.785 | 0.910 (0.004) |
| | MAST | 1.000 | 0.992 | 1.00 | 0.174 | 0.792 (0.021) |



Comparison of hESCs

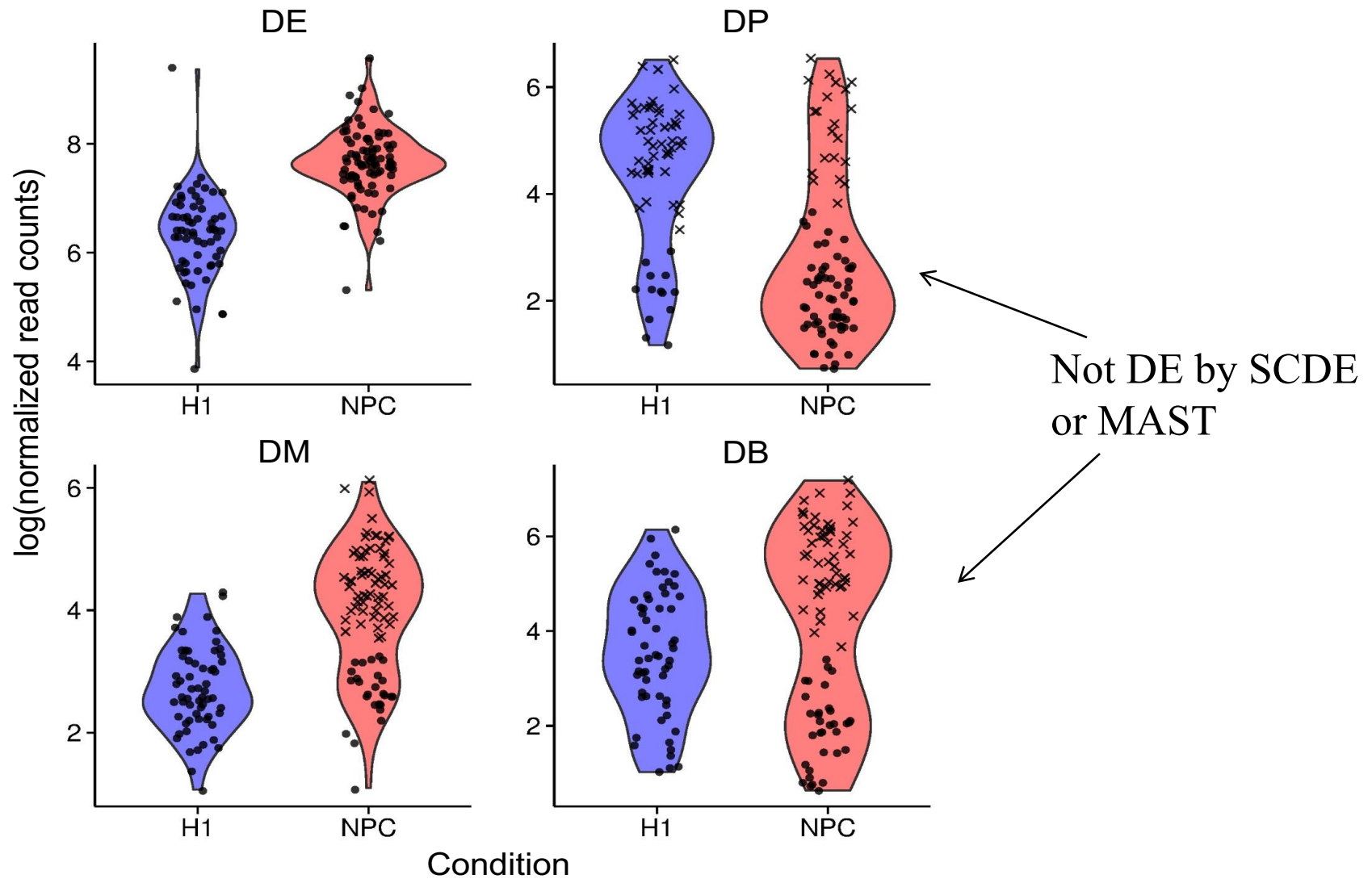


Number of DD genes identified in each cell type comparison

| Comparison | scDD | | | | | Total | SCDE | MAST |
|------------|------|-----|-----|-----|------|-------|------|------|
| | DE | DP | DM | DB | DZ | | | |
| H1 vs NPC | 1342 | 429 | 739 | 406 | 1590 | 4506 | 2938 | 5729 |
| H1 vs DEC | 1408 | 404 | 939 | 345 | 880 | 3976 | 1581 | 3523 |
| NPC vs DEC | 1245 | 449 | 700 | 298 | 2052 | 4744 | 1881 | 5383 |
| H1 vs H9 | 194 | 84 | 55 | 32 | 145 | 510 | 102 | 1091 |

scDD only: 2% 21% 38% 24% 15%

Genes identified in H1 vs. NPC comparison



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